

## North Yorkshire County Council

### Pension Board

3 October 2019

#### Progress on issues raised by the Committee

#### Report of the Assistant Chief Executive (Legal and Democratic Services)

#### 1.0 Purpose of the report

#### 1.1 To advise Members of:-

- Progress on issues raised at previous meetings;
- Issues that may have arisen, relating to the work of the Board, since the previous meeting

#### 2.0 Background

2.1 This report is submitted to each meeting listing the Board's previous Resolutions where further information is to be submitted to future meetings. The table below represents the list of issues which were identified at previous Pension Board meetings and which have not yet been resolved.

Date	Minute No and subject	Resolution/Action	Comment/completed
18 January 2018 / 12 April 2018/ 19 July 2018/ 11 October 2018/24 January 2019/18 July 2019	Minute No 123 – Annual discussion with Treasurer of NYPF / Minute no 145 – Pooling / Minute no. 166 – Pooling / Minute no 177(b) - progress on issues raised/ Minute no 202 (b) – progress on issues raised	Arrange a meeting between representatives from the various Pension Boards of those Pension Funds involved in BCPP to discuss the development of the Pool.	These have been taking place and the Chairman will provide feedback in respect of any of these meetings he attends.
18 July 2019	Minute No 201 (b) – Vacancies for Employer and Scheme Member representatives	Vacancies for both an Employer Representative and a Scheme Member Representative remain and efforts continue to fill these.	Any updates on this position will be provided at the meeting.

<p>20 July 2017/18 January 2018/ 19 July 2018/24 January 2019/11 April 2019/18 July 2019</p>	<p>Minute No 100 – Risk Register / Minute No 123 – Annual discussion with Treasurer of NYPF / Minute no 177(b) – progress on Issues raised / Minute no 186 Pooling</p>	<p>That Pension Board Members be provided with the background documents/ staffing structure/ financial information in relation to pooling. Following that, a structure was required to determine how reports were to be provided, going forward. This remained a significant issue particularly in respect of the amount of documentation considered to be confidential by BCPP, which hindered the scrutiny and monitoring process of the Board,</p>	<p>The Board was provided with details of the relevant information, to enable them to monitor the development of the pooling arrangements directly by the Treasurer of the NYPF, however, an appropriate reporting mechanism has still to be established, with a significant proportion of reports still deemed to be confidential by BCPP. The Treasurer continued to address this matter with BCPP.</p>
<p>18 July 2019</p>	<p>Minute no 206 – Review of Terms of Reference</p>	<p>Review of the Board’s Terms of Reference given the significant changes to the LGPS since the Board was established.</p>	<p>The Pension Fund’s Independent Observer, Peter Scales and Treasurer, Gary Fielding, assisted with the review of the Board’s ToR at the July meeting and the amendment (as set out in the minutes) will follow the appropriate process resulting in consideration by County Council in November.</p>
<p>11 October 2018 / 24 January 2019/11 April 2019/18 July 2019</p>	<p>Minute no 174 and 212 –Skills Matrix / Self-Evaluation Questionnaire</p>	<p>A revised skills matrix/self-assessment questionnaire, adapted from that provided to the Pension Fund Committee had been circulated to Members following the meeting.</p>	<p>The results from the returned questionnaires are to be evaluated and members will be consulted on the development of a training plan.</p>

11 April 2019/18 July 2019	Minute No 194 – Membership of the Board/ Minute no 202(b) progress on issues raised	Board Members appointed in July 2015, including the Independent Chairman, were temporarily re- appointed until January 2020	A recruitment/re-appointment process would need to take place to take account of the end of the terms of office of a number of Board Members in January 2020. A report is submitted to this meeting in relation to this matter.
18 July 2019	Minute no 211 – Internal Audit reports	Monitor the position regarding the exchange of data from NYCC ESS service and the NYPF following a “limited Assurance” rating in relation to the internal audit report undertaken in respect of Pension Fund Expenditure.	The Pension Fund expenditure internal audit for 2019/20 would give further consideration to this matter to determine whether recommended action has been implemented, and processes have been improved. Details would be reported back to the Pension Board.

### 3.0 Recommendation

3.1 That the report be noted and further action be undertaken where required.

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September 2019

Background Documents – None